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# Three-algebras, triple systems and 3-graded Lie superalgebras

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## Abstract

The three-algebras used by Bagger and Lambert in  $N = 6$  theories of ABJM type are in one-to-one correspondence with a certain type of Lie superalgebras. We show that the description of three-algebras as generalized Jordan triple systems naturally leads to this correspondence. Furthermore, we show that simple three-algebras correspond to simple Lie superalgebras, and vice versa. This gives a classification of simple three-algebras from the well-known classification of simple Lie superalgebras.

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## 1. Introduction

Superconformal Chern–Simons theories in three dimensions [1] have recently attracted much interest. In particular two different approaches have appeared in the literature, based on the so-called three-algebras and Lie superalgebras, respectively. In this paper, we will connect these two algebraic structures to each other using a third one, *generalized Jordan triple systems*.

Bagger and Lambert proposed a model for multiple M2-branes with  $N = 8$  supersymmetry based on an algebraic structure called *three-algebra* [2–4]. The closure of the supersymmetry algebra was first shown by Gustavsson [5] using a different (but equivalent [4]) algebraic approach. It was later proven [6, 7] that there is only one such simple three-algebra, leading to an  $SO(4)$  gauge symmetry. Bagger and Lambert subsequently generalized their model to one with  $N = 6$  supersymmetry, based on a new, more general, notion of three-algebras [8]. It was shown that an infinite class of such three-algebras, parameterized by an integer  $n \geq 2$ , gives the  $N = 6$  theories of Aharony, Bergman, Jafferis and Maldacena (ABJM) with gauge groups  $SU(n) \times SU(n)$  [9–11]. For  $n = 2$ , the supersymmetry enhances from  $N = 6$  to  $N = 8$ , and the ABJM theory coincides with the original Bagger–Lambert–Gustavsson theory, in accordance with the isomorphism  $SO(4) = SU(2) \times SU(2)$ . Schnabl and Tachikawa have

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classified all  $N = 6$  theories that can be obtained by such an enhancement from theories with a smaller amount of supersymmetry [12].

Three-dimensional conformal Chern–Simons theories with  $N = 4$  supersymmetry were studied by Witten and Gaiotto in relation to Janus configurations of four-dimensional  $N = 4$  super Yang–Mills theory [13]. They showed that these novel theories can be classified in terms of Lie superalgebras. Hosomichi *et al* extended the theories by Gaiotto and Witten by additional twisted hypermultiplets [14]. In this way, they obtained the ABJM theory with  $U(m) \times U(n)$  gauge symmetry as corresponding to the Lie supergroup  $U(m|n)$  [15]. The supersymmetry was thus enhanced from  $N = 4$  to  $N = 6$  in this case. Such an enhancement was shown also for another theory, corresponding to the Lie supergroup  $OSp(2|2n)$ .

Generalized Jordan triple systems are algebraic structures that on the one hand include three-algebras as special cases, and on the other hand have a well-studied connection to Lie algebras [16]. Their relevance for the ABJM theory was first pointed out in [17], where it was shown that instead of a three-algebra, the theory can equally well be formulated in terms of the associated Lie algebra. It was also shown that the associated Lie algebra is infinite-dimensional, but not of Kac–Moody type (thus it should not be confused with the Lie algebra of the gauge group).

The main observation in the present paper is that the construction by Kantor [16] of the associated Lie algebra in a simple way can be modified to the construction of an associated Lie *superalgebra*, without (unlike other methods to construct Lie superalgebras from triple systems [18–21]) generalizing the definition of the triple system itself. We will show that when the generalized Jordan triple system is a three-algebra, the associated Lie superalgebra is finite-dimensional and 3-graded (with respect to a  $\mathbb{Z}$ -grading consistent with the usual  $\mathbb{Z}_2$ -grading). Conversely, any 3-graded Lie superalgebra gives rise to a generalized Jordan triple system which under certain conditions is a three-algebra. This naturally leads to a one-to-one correspondence between three-algebras and this type of Lie superalgebras that we will describe in detail.

The correspondence between three-algebras and Lie superalgebras has also been studied by Figueroa-O’Farrill *et al* [22] in the context of the more general Cherkis–Sämman three-algebras [23]. This description does not involve generalized Jordan triple systems, but is a special case of a general construction by Faulkner [24]. One advantage of our method is that it enables us to refine the result: we will show that simple three-algebras correspond to simple Lie superalgebras and vice versa. (However, this can be shown also with the method in [22]. See the note added below.) The simple Lie superalgebras that appear have been classified by Kac [25, 26] as  $C(n+1)$  and  $A(m, n)$ . Thus we arrive at a classification of the simple three-algebras defined by Bagger and Lambert in [8]. In particular, the uniqueness of the three-algebra in [2, 3] is from this point of view just a consequence of the well-known classification by Kac of simple Lie superalgebras.

The paper is organized as follows. In section 2, we give our definition of a three-algebra (which is the same as in [8]) and show that it is a special case of a generalized Jordan triple system. We review how an arbitrary generalized Jordan triple system gives rise to an associated graded Lie algebra. Then we show how this construction can be modified to give a Lie superalgebra instead of a Lie algebra. This leads to theorem 2.1, which says that there is a one-to-one correspondence between simple three-algebras and a certain type of simple Lie superalgebras. In section 3, we go in the opposite direction: we start with the Lie superalgebras  $C(n+1)$  and  $A(m, n)$ , and describe how the corresponding triple systems can be obtained. Section 4 contains our conclusions, and the proof of the theorem is completed in the appendix.

## 2. Three-algebras and triple systems

The original definition of a three-algebra given by Bagger and Lambert [3] has subsequently been generalized by many authors, among them Bagger and Lambert themselves [8]. We will in this paper use the two definitions in [3] and [8], but in order to distinguish between them, we will talk about ‘ $N = 6$  three-algebras’ and ‘ $N = 8$  three-algebras’, respectively. When we only say ‘three-algebra’ we mean an ‘ $N = 6$  three-algebra’, since we are mainly interested in this more general case.

### 2.1. Basic definitions

An  $N = 6$  three-algebra is a finite-dimensional complex vector space  $V$  with a triple product  $f : V \times V \times V \rightarrow V$  and an ‘inner product’  $h : V \times V \rightarrow \mathbb{C}$ , such that

- the triple product  $(xyz) \equiv f(x, y, z)$  is linear in  $x$  and  $z$  but antilinear in  $y$ :

$$\alpha(xyz) = ((\alpha x)yz) = (x(\alpha^* y)z) = (xy(\alpha z)) \quad (2.1)$$

for any complex number  $\alpha$  (where  $*$  is the complex conjugate);

- the triple product satisfies the identities

$$(uv(xyz)) - (xy(uvz)) = ((uvx)yz) - (x(vuy)z), \quad (2.2)$$

$$(xyz) = -(zyx); \quad (2.3)$$

- the inner product  $\langle x|y \rangle \equiv h(x, y)$  is linear in  $x$  and antilinear in  $y$ ;
- the inner product satisfies the identities

$$\langle w|(xyz) \rangle = \langle y|(zwx) \rangle = \langle (wzy)|x \rangle = \langle (yxw)|z \rangle, \quad (2.4)$$

$$\langle x|y \rangle = \langle y|x \rangle^*; \quad (2.5)$$

- the inner product is positive definite.

An  $N = 8$  three-algebra is an  $N = 6$  three-algebra  $V$  with a *conjugation*  $C$  (an antilinear involution) such that the triple product satisfies  $(xC(y)z) = -(yC(x)z)$  and the inner product  $h$  is real. This implies that the triple product is totally antisymmetric and that the inner product is symmetric. Then the identity (2.2) takes the form of a *Leibniz rule*,

$$(uv(xyz)) = ((uvx)yz) + (x(uvy)z) + (xy(uvz)). \quad (2.6)$$

In this form, it is often called the *fundamental identity*. Moreover, for an  $N = 8$  three-algebra, (2.4) expresses an *invariance* of the inner product,

$$\langle (xyw)|z \rangle + \langle w|(xyz) \rangle = 0. \quad (2.7)$$

Let  $V$  be a  $N = 6$  three-algebra, and let  $T^a$  be an orthonormal basis of  $V$ , for  $a = 1, 2, \dots, \dim V$ . In analogy with Lie algebras, we introduce *structure constants*  $f^a{}_b{}_c{}_d$  for  $V$ , which specify the triple product by

$$(T^a T^b T^c) = f^a{}_b{}_c{}_d T^d. \quad (2.8)$$

We will see that it is natural to put the second index downstairs. This is related to the fact that the triple product is not linear but antilinear in the second argument. The identities (2.2)–(2.4) can now be written as

$$f^a{}_b{}_c{}_d f^e{}_f{}_d{}_g - f^e{}_f{}_c{}_d f^a{}_b{}_d{}_g = f^e{}_f{}_a{}_d f^d{}_b{}_c{}_g - (f^f{}_e{}_b{}_d)^* f^a{}_d{}_c{}_g, \quad (2.9)$$

$$f^a{}_b{}^c{}_d = -f^c{}_b{}^a{}_d, \tag{2.10}$$

$$(f^a{}_b{}^c{}_d)^* = (f^c{}_d{}^a{}_b)^* = f^b{}_a{}^d{}_c = f^d{}_c{}^b{}_a. \tag{2.11}$$

This means that  $f$  is antisymmetric not only in the upper pair of indices, but also in the lower pair. In [17], the identity (2.11) was used to rewrite (2.9) as

$$f^a{}_g{}^{[b}{}_d f^c]{}_e{}^g{}_f = f^b{}_g{}^c{}_{[e} f^a{}_f]{}^g{}_d. \tag{2.12}$$

We follow the notation in [17], which means that we put the indices of  $f$  in a different order compared to Bagger and Lambert [8]:

$$(f^a{}_b{}^c{}_d)_{\text{NP}} = (f^{ac\bar{b}}{}_d)_{\text{BL}}. \tag{2.13}$$

The identities (2.9) and (2.11) should be compared to (2) and (4), respectively, in [8].

A difference compared to [17] is that  $f$  was linear in all three arguments, and also in the second argument. But as well as  $f$  and  $h$  given here, we can always consider the triple product  $\tilde{f}$  and the inner product  $\tilde{h}$  in  $V$ , given by

$$\tilde{f}(x, y, z) = f(x, C(y), z), \quad \tilde{h}(x, y) = h(x, C(y)) \tag{2.14}$$

where  $C$  is a conjugation. Thus  $\tilde{f}$  and  $\tilde{h}$  are linear in all arguments, and we can consider the  $N = 6$  three-algebra  $V$  as a special kind of a *generalized Jordan triple system* [16]. By definition, this is a vector space with a *trilinear* triple product that satisfies the identity (2.2).

A *weak ideal* of a generalized Jordan triple system  $V$  is a subspace  $W$  such that  $(VVW) \subseteq W$  and  $(WVV) \subseteq W$ . For an  $N = 6$  three-algebra, these two conditions are equivalent, because of the antisymmetry of the triple product. If in addition  $(VWV) \subseteq W$ , then  $W$  is called an *ideal* of  $V$ . Thus any ideal is a weak ideal. But for  $N = 6$  three-algebras also the converse is true, as we will show in the appendix.

The triple system  $V$  is *simple* if the only ideals of  $V$  are 0 and  $V$  itself. If it is not simple, then we can ‘make it simple’ by factoring out the maximal ideal.

## 2.2. The associated graded Lie algebra

We will now describe how a generalized Jordan triple system  $V$  gives rise to a graded Lie algebra [16] in the special case when  $V$  is an  $N = 6$  three-algebra. This was already done in [17], but the description here will be more detailed. Also in the general case, our approach is somewhat different from the original one by Kantor (but equivalent).

Any vector space  $V$  gives rise to a graded Lie algebra

$$\begin{aligned} \tilde{U} &= \tilde{U}_{-1} \oplus \tilde{U}_0 \oplus \tilde{U}_1 \oplus \tilde{U}_2 \oplus \dots \\ &= \tilde{U}_{-1} \oplus \tilde{U}_0 \oplus \tilde{U}_+, \end{aligned} \tag{2.15}$$

which is the direct sum of subspaces  $\tilde{U}_k$  for all integers  $k$ , such that  $[\tilde{U}_i, \tilde{U}_j] \subseteq \tilde{U}_{i+j}$ , and  $\tilde{U}_k = 0$  for  $k \leq -2$  [29]. The subspaces  $\tilde{U}_k$  for  $k \geq -1$  are defined recursively, starting with  $\tilde{U}_{-1} = V$ . For each  $k \geq 0$ , the subspace  $\tilde{U}_k$  is then defined as the vector space of all linear maps  $V \rightarrow \tilde{U}_{k-1}$ . Thus  $\tilde{U}_0 = \text{End } V$ , and  $\tilde{U}_1$  consists of linear maps  $V \rightarrow \text{End } V$ . The Lie bracket is defined recursively by

$$[a, b] = (\text{ad } a) \circ b - (\text{ad } b) \circ a, \tag{2.16}$$

starting from  $[u, v] = 0$  for  $u, v \in \tilde{U}_{-1}$ . Here any element  $u$  in  $\tilde{U}_{-1} = V$  should be considered as a constant map  $V \rightarrow V$ , given by  $u(v) = u$  for all  $v \in V$ . The Jacobi identity can be shown by induction.

Suppose now that  $V$  is an  $N = 6$  three-algebra with an orthonormal basis  $T^a$  and structure constants  $f^a{}_b{}^c{}_d$ . Define the elements  $S^a{}_b \in \tilde{U}_0$  and  $\tilde{T}_a \in \tilde{U}_1$  by

$$\begin{aligned} S^a{}_b: V &\rightarrow \tilde{U}_{-1} = V, & S^a{}_b(T^c) &= f^a{}_b{}^c{}_d T^d, \\ \tilde{T}_a: V &\rightarrow \tilde{U}_0, & \tilde{T}_a(T^b) &= S^b{}_a. \end{aligned} \tag{2.17}$$

Set  $U_{-1} = \tilde{U}_{-1} = V$  and let  $U_0$  and  $U_1$  be the subspaces of  $\tilde{U}_0$  and  $\tilde{U}_1$  spanned by all elements  $S^a{}_b$  and  $\tilde{T}_a$ , respectively. Furthermore, let  $U_+ = U_1 \oplus U_2 \oplus \dots$  be the subalgebra of  $\tilde{U}_+ = \tilde{U}_1 \oplus \tilde{U}_2 \oplus \dots$  generated by  $U_1$  (with grading inherited from  $\tilde{U}_+$ ).

Since the inner product in an  $N = 6$  three-algebra is positive definite and thus non-degenerate, it follows from (2.4) that if  $(xuy) = (xvy)$  for all  $x$  and  $y$ , then  $u = v$ . This means that  $U_1$  is isomorphic to  $U_{-1}$ . The map

$$\tau : \tilde{T}_a \mapsto T^a \tag{2.18}$$

between the bases of  $U_1$  and  $U_{-1}$  is one-to-one and can be extended by linearity to an isomorphism between the vector spaces  $U_1$  and  $U_{-1}$ . However, here we choose to extend it by *antilinearity* to an *anti-isomorphism*  $\tau : U_+ \rightarrow U_-$ , where, for each  $k \geq 2$ , we introduce a vector space  $U_{-k}$  isomorphic to  $U_k$ , and let  $U_-$  be the direct sum  $U_- = U_{-1} \oplus U_{-2} \oplus \dots$  of all these vector spaces. Furthermore, we can define a Lie algebra structure on  $U_-$  by

$$[\tau(a), \tau(b)] \equiv \tau([a, b]). \tag{2.19}$$

Then  $\tau$  extends to a Lie algebra anti-isomorphism from  $U_+$  to  $U_-$ . These two isomorphic Lie algebras are generated by  $U_1$  and  $U_{-1}$ , respectively. We can define a Lie algebra structure on the direct sum

$$\begin{aligned} U &= \dots \oplus U_{-2} \oplus U_{-1} \oplus U_0 \oplus U_1 \oplus U_2 \oplus \dots \\ &= U_- \oplus U_0 \oplus U_+ \end{aligned} \tag{2.20}$$

by combining the Lie algebra structures on the subspaces  $U_-$  and  $U_{-1} + U_0 + U_+$ . It follows by construction that the commutation relations involving  $U_{\pm 1}$  and  $U_0$  are

$$\begin{aligned} [S^a{}_b, T^c] &= f^a{}_b{}^c{}_d T^d, \\ [S^a{}_b, \tilde{T}_d] &= -f^a{}_b{}^c{}_d \tilde{T}_c, \\ [\tilde{T}_b, T^a] &= S^a{}_b, \\ [S^a{}_b, S^c{}_d] &= f^a{}_b{}^c{}_e S^e{}_d - f^a{}_b{}^e{}_d S^c{}_e. \end{aligned} \tag{2.21}$$

All other commutation relations then follow from (2.21) by the Jacobi identity and the fact that  $U$  is generated by  $T^a$  and  $\tilde{T}_a$ . It follows from (2.21) that  $\tau$  can be extended to a conjugation on  $U$  by the inverse of (2.18),

$$\tau : T^a \mapsto \tilde{T}_a. \tag{2.22}$$

This conjugation acts on  $U_0$  in the following way,

$$S^a{}_b = [\tilde{T}_b, T^a] \mapsto [T^b, \tilde{T}_a] = -[\tilde{T}_a, T^b] = -S^b{}_a. \tag{2.23}$$

All elements  $\Lambda$  in  $U_0$  that are invariant under this conjugation constitute a real form of the complex Lie algebra  $U_0$ . If we write  $\Lambda = \Lambda_a{}^b S^a{}_b$ , this means  $(\Lambda_a{}^b)^* = -\Lambda_b{}^a$ . It also follows from (2.2) that they act as *derivations* on  $V$ :

$$\Lambda((xyz)) = (\Lambda(x)yz) + (x\Lambda(y)z) + (xy\Lambda(z)). \tag{2.24}$$

In [17], we studied the properties of the graded Lie algebra  $U$  associated with a three-algebra  $V$ . We found that it is infinite dimensional, but not of Kac–Moody type. Here we will instead modify the associated Lie algebra to a Lie *superalgebra*.

### 2.3. The associated graded Lie superalgebra

In the same way as  $V$  gives rise to a graded Lie algebra  $U$ , it also gives rise to a graded Lie superalgebra  $\mathcal{U}$ . We recall that a Lie superalgebra [25, 26] is a  $\mathbb{Z}_2$ -graded algebra  $\mathcal{G} = \mathcal{G}_{(0)} \oplus \mathcal{G}_{(1)}$  with a Lie superbracket such that

$$\llbracket a, b \rrbracket = -(-1)^{pq} \llbracket b, a \rrbracket \in \mathcal{G}_{(p+q)} \tag{2.25}$$

if  $a \in \mathcal{G}_{(p)}$  and  $b \in \mathcal{G}_{(q)}$ . We say that  $p$  and  $q$  are the *degrees* of  $a$  and  $b$ , respectively. The degrees should be considered as elements in the field  $\mathbb{Z}_2 = \{0, 1\}$  so that  $1 + 1 = 0$ . If  $pq = 0$ , we write  $\llbracket a, b \rrbracket = [a, b]$ , and if  $pq = 1$ , we write  $\llbracket a, b \rrbracket = \{a, b\}$ . Furthermore the superbracket is required to satisfy the *Jacobi superidentity*

$$(-1)^{pr} \llbracket \llbracket a, b \rrbracket, c \rrbracket + (-1)^{qp} \llbracket \llbracket b, c \rrbracket, a \rrbracket + (-1)^{rq} \llbracket \llbracket c, a \rrbracket, b \rrbracket = 0, \tag{2.26}$$

where  $p, q, r$  are the degrees of  $a, b, c$ , respectively. For more information about Lie superalgebras, see for example [30].

A Lie superalgebra is already a  $\mathbb{Z}_2$ -graded algebra, but when we talk about graded algebras here, we refer to  $\mathbb{Z}$ -gradings. In particular, when we talk about a graded Lie superalgebra

$$\mathcal{G} = \cdots \oplus \mathcal{G}_{-1} \oplus \mathcal{G}_0 \oplus \mathcal{G}_1 \oplus \cdots, \tag{2.27}$$

we refer to a  $\mathbb{Z}$ -grading that is *consistent* with the  $\mathbb{Z}_2$ -grading. This means that  $\mathcal{G}_{(0)}$  is the sum of all  $\mathcal{G}_k$  for  $k$  even, while  $\mathcal{G}_{(1)}$  is the sum of all  $\mathcal{G}_k$  for  $k$  odd.

For any vector space  $V$ , we can now define a graded Lie superalgebra  $\tilde{\mathcal{U}}$  as the same vector space as  $\tilde{U}$ , defined in the preceding subsection. Thus  $\tilde{\mathcal{U}}$  is a direct sum of subspaces  $\tilde{\mathcal{U}}_k = \tilde{U}_k$  for  $k \geq -1$ . We equip  $\tilde{\mathcal{U}}$  with a Lie superalgebra structure by modifying the recursively defined Lie bracket (2.16) to

$$\llbracket a, b \rrbracket = (\text{ad } a) \circ b - (-1)^{pq} (\text{ad } b) \circ a, \tag{2.28}$$

where  $p$  and  $q$  are the degrees of  $a$  and  $b$ , respectively. The Jacobi superidentity can then be shown by induction.

If  $V$  is a generalized Jordan triple system, we set  $U_{-1} = \tilde{U}_{-1} = V$  and let  $U_0$  and  $U_1$  be the subspaces of  $\tilde{U}_0$  and  $\tilde{U}_1$  spanned by all elements  $S^a_b$  and  $\bar{T}_a$ , respectively, in analogy with the construction of the Lie algebra  $U$ . Thus  $U_{\pm 1} = U_{\pm 1}$  and  $U_0 = U_0$ . But when we define  $U_+$  as the subalgebra of  $\tilde{U}_+ = \tilde{U}_1 \oplus \tilde{U}_2 \oplus \cdots$  generated by  $U_1$ , and let  $V$  be an  $N = 6$  three-algebra, a difference arises compared to  $U_+$ , as we will see now. The subspace  $U_2 = \{U_1, U_1\}$  is spanned by elements  $\{\bar{T}_a, \bar{T}_b\}$  which are maps from  $V$  to  $U_1$ . It follows from (2.28) that they act as

$$\begin{aligned} \{\bar{T}_a, \bar{T}_b\}(T^c) &= [\bar{T}_a, \bar{T}_b(T^c)] + [\bar{T}_b, \bar{T}_a(T^c)] \\ &= [T_a, S^c_b] + [T_b, S^c_a] \\ &= f^c_b{}^d T_d + f^c_a{}^d \bar{T}_d, \end{aligned} \tag{2.29}$$

and this is zero for an  $N = 6$  three-algebra. Since  $U_+$  is generated by  $U_1$ , this means that  $U_+ = U_1$ , and the Lie superalgebra is in fact 3-graded,

$$U = U_{-1} \oplus U_0 \oplus U_1. \tag{2.30}$$

We note that the same happens for the Lie algebra associated with a *Jordan triple system*, which is a generalized Jordan triple system such that  $(xyz) = (zyx)$ . It follows from this symmetry of the triple product that the subspaces  $U_{\pm 2}$  vanish, in the same way as  $U_{\pm 2}$  do for

an  $N = 6$  three-algebra. The complete set of commutation relations in  $U$  is then given by

$$\begin{aligned} \llbracket S^a_b, T^c \rrbracket &= [S^a_b, T^c] = f^a_b{}^c{}_d T^d, \\ \llbracket S^a_b, \bar{T}_d \rrbracket &= [S^a_b, \bar{T}_d] = -f^a_b{}^c{}_d \bar{T}_c, \\ \llbracket \bar{T}_b, T^a \rrbracket &= \{\bar{T}_b, T^a\} = S^a_b, \\ \llbracket S^a_b, S^c_d \rrbracket &= [S^a_b, S^c_d] = f^a_b{}^c{}_e S^e_d - f^a_b{}^e{}_d S^c_e. \end{aligned} \tag{2.31}$$

Next we want to extend the anti-isomorphism

$$\tau : U_1 \rightarrow U_{-1}, \quad \bar{T}_a \mapsto T^a \tag{2.32}$$

to the whole of  $U$  in a way such that  $\tau(S^a_b) = -S^b_a$  as before. But then we cannot extend it by its inverse as we did for the Lie algebra  $U$ . Instead we have to take

$$\tau : U_{-1} \rightarrow U_1, \quad T^a \mapsto -\bar{T}_a. \tag{2.33}$$

Then we get

$$S^a_b = \{\bar{T}_b, T^a\} \mapsto -\{T^b, \bar{T}_a\} = -\{\bar{T}_a, T^b\} = -S^b_a. \tag{2.34}$$

The resulting anti-automorphism satisfies

$$[\tau(a), \tau(b)] = \tau([a, b]), \quad \tau^2(c) = (-1)^k(c) \tag{2.35}$$

for all  $a, b \in U$  and  $c \in U_k$ . We call any such anti-automorphism  $\tau$  on a graded Lie superalgebra (with consistent grading)

$$\mathcal{G} = \cdots \oplus \mathcal{G}_{-1} \oplus \mathcal{G}_0 \oplus \mathcal{G}_1 \oplus \cdots, \tag{2.36}$$

such that  $\tau(\mathcal{G}_k) = \mathcal{G}_{-k}$  for all integers  $k$  and (2.35) holds for all  $c \in \mathcal{G}_k$ , a *graded superconjugation*.

Before stating the main result of this paper, theorem 2.1 below, we need one more definition. A bilinear form  $\kappa$  on a Lie superalgebra  $\mathcal{G} = \mathcal{G}_{(0)} \oplus \mathcal{G}_{(1)}$  is said to be *consistent* and *supersymmetric* if

$$\kappa(a, b) = \frac{1}{2}((-1)^p + (-1)^q)\kappa(b, a),$$

for any  $x \in \mathcal{G}_{(p)}$  and  $y \in \mathcal{G}_{(q)}$  (where  $p, q = 0, 1$ ). If it furthermore is invariant,

$$\kappa(\llbracket a, b \rrbracket, c) = \kappa(a, \llbracket b, c \rrbracket), \tag{2.37}$$

for any  $a, b, c \in \mathcal{G}$ , then we call it an *inner product*.

**Theorem 2.1.** *There is a one-to-one correspondence between simple  $N = 6$  three-algebras  $V$  and simple 3-graded Lie superalgebras  $U$  with an inner product  $\kappa$  and a graded superconjugation  $\tau$  such that*

$$\kappa(a, \tau(b)) = \kappa(b, \tau(a))^*, \quad \kappa(a, \tau(a)) > 0, \tag{2.38}$$

for all  $a, b \in U$ .

**Proof.** We have already described how  $L(V) \equiv U$  is defined from  $V$ . The inner product  $\kappa$  and the graded superconjugation  $\tau$  are then defined by

$$\begin{aligned} \tau(T^a) &= -\bar{T}_a, & \tau(\bar{T}_a) &= T^a, & \tau(S^a_b) &= -S^b_a, \\ \kappa(T^a, \bar{T}_b) &= -\kappa(\bar{T}_b, T^a) = \delta^a_b, & \kappa(S^a_b, S^c_d) &= f^a_b{}^c{}_d. \end{aligned} \tag{2.39}$$

It is straightforward to show that they satisfy the requirements in the theorem.

Conversely, start with the 3-graded Lie superalgebra  $U$ . Then we take  $U_{-1}$  to be the  $N = 6$  three-algebra  $K(U) \equiv V$  with the triple product

$$(xyz) = \llbracket \llbracket x, \tau(y) \rrbracket, z \rrbracket = [\{x, \tau(y)\}, z] \tag{2.40}$$



and the inner product

$$\langle x|y \rangle = \kappa(x, \tau(y)). \tag{2.41}$$

Again, it is straightforward to show that the definition of an  $N = 6$  three-algebra is satisfied. It is also easy to see that the map  $L$  is invertible:  $K(L(V)) = V$  for any  $N = 6$  three-algebra  $V$ .

To prove that  $L(K(U)) = U$  for any 3-graded Lie superalgebra  $U$  that satisfies the requirements in the theorem, there are two non-trivial conditions that we have to show. First, that  $\{U_{-1}, U_1\} = U_0$  and secondly, that  $c = 0$  whenever  $c \in U_0$  and  $[c, x] = 0$  for all  $x \in U_{-1}$ . We note that the subspace

$$U_{-1} + \{U_{-1}, U_1\} + U_1 \tag{2.42}$$

is an ideal of  $U$ , and since  $U$  is simple, we must have  $\{U_{-1}, U_1\} = U_0$ . Then also the second condition follows, since otherwise all such elements  $c$  would form an ideal.

It remains to show that  $L(V)$  is a simple Lie superalgebra if and only if  $V$  is a simple  $N = 6$  three-algebra. We will show this in the appendix.  $\square$

It follows that a classification of all simple three-algebras is equivalent to the classification of all simple Lie superalgebras that satisfy the conditions in the theorem. The simple 3-graded Lie superalgebras have been classified by Kac [25, 26], and they are called *classical Lie superalgebras of type I*. They are denoted as  $A(0, n)$ ,  $A(m, n)$ ,  $C(n + 1)$  and  $P(n + 1)$  for all integers  $m, n \geq 1$ . Among these,  $P(n + 1)$  is a *strange* Lie superalgebra, which in particular means that  $\dim U_{-1} \neq \dim U_1$  in the 3-grading  $P(n) = U_{-1} + U_0 + U_1$ . Thus  $P(n + 1)$  does not admit a graded superconjugation. For the remaining *basic* Lie superalgebras  $A(0, n)$ ,  $A(m, n)$  and  $C(n + 1)$ , there is a unique 3-grading up to automorphisms. Furthermore, there is an inner product  $\kappa$  and a graded superconjugation  $\tau$  such that the requirements are satisfied.

It is a general result that an inner product on a simple Lie superalgebra is unique up to an overall constant, so we only have to show that there is a superconjugation  $\tau$  that is compatible with this inner product. The inner product reduces to the ordinary Killing form on the subalgebra  $U_0$  (which is a semisimple Lie algebra), and the superconjugation  $\tau$  reduces to an ordinary conjugation on  $U_0$ . This means that it defines a real form of the complex Lie algebra  $U_0$ , consisting of all elements  $x + \tau(x)$ , where  $x \in U_0$ . Then the requirement that  $\kappa$  be positive definite on this real Lie algebra defines  $\tau$  uniquely on  $U_0$ , up to automorphisms. In the next section, we will see that there is even a way to extend  $\tau$  to the  $U_{\pm 1}$  subspaces such that the conditions (2.38) are satisfied on the full Lie superalgebra  $U$ .

### 3. From Lie superalgebras back to three-algebras

We will now change perspective and focus on the simple Lie superalgebras  $C(n + 1)$  and  $A(m, n)$  that satisfy the conditions in the theorem. Physicists are perhaps more familiar with the notation  $\mathfrak{sp}(2|2n, \mathbb{C})$ ,  $\mathfrak{su}(m + 1|n + 1, \mathbb{C})$  and  $\mathfrak{psu}(n + 1|n + 1, \mathbb{C})$  for  $C(n + 1)$ ,  $A(m, n)$  and  $A(n, n)$ , respectively, where  $m \neq n$ . We will review how these Lie superalgebras can be constructed from their Cartan matrices or Dynkin diagrams. The Cartan matrices and Dynkin diagrams that we use are always the *distinguished* ones. For more details, we refer to [25, 26, 30].

We will define an inner product  $\kappa$  and superconjugation  $\tau$  on the Lie superalgebras  $C(n + 1)$  and  $A(m, n)$  that satisfy the conditions (2.38), whereupon the construction of the associated  $N = 6$  three-algebra follows by (2.40) and (2.41). Repeated indices should not be summed over in this section.

3.1. The Lie superalgebras  $C(n + 1)$

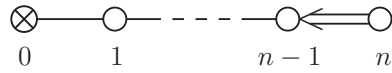
For any integer  $n \geq 1$ , the Lie superalgebra  $C(n + 1)$  is given by the entries  $A_{ij}$  of the Cartan matrix

$$\begin{matrix} & & 0 & 1 & 2 & & & n \\ \begin{matrix} 0 \\ 1 \\ 2 \\ \vdots \\ n-2 \\ n-1 \\ n \end{matrix} & \left( \begin{array}{c|cccccc} 0 & -1 & 0 & \cdots & 0 & 0 & 0 \\ -1 & 2 & -1 & \cdots & 0 & 0 & 0 \\ 0 & -1 & 2 & \cdots & 0 & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & 2 & -1 & 0 \\ 0 & 0 & 0 & \cdots & -1 & 2 & -2 \\ 0 & 0 & 0 & \cdots & 0 & -1 & 2 \end{array} \right) \end{matrix}$$

$\underbrace{\hspace{15em}}_n$

(3.1)

or, equivalently, by the Dynkin diagram



To each row and column in the Cartan matrix, and to each node in the Dynkin diagram, we associate three Chevalley generators  $e_i, f_i, h_i$  where  $i = 0, 1, \dots, n$  according to the labelling above.

The Lie superalgebra  $C(n + 1)$  is now generated by the Chevalley elements modulo the Chevalley relations

$$\begin{aligned}
 \llbracket e_p, f_q \rrbracket &= [e_p, f_q] = \delta_{pq} h_q, & \llbracket h_i, e_j \rrbracket &= [h_i, e_j] = A_{ij} e_j, \\
 \llbracket e_0, f_0 \rrbracket &= \{e_0, f_0\} = h_0 & \llbracket h_i, f_j \rrbracket &= [h_i, f_j] = -A_{ij} f_j,
 \end{aligned}$$

(3.2)

for  $(p, q) \neq (0, 0)$ , and, for  $i \neq j$ , the Serre relations

$$(\text{ad } e_i)^{1+|A_{ij}|}(e_j) = (\text{ad } f_i)^{1+|A_{ij}|}(f_j) = 0.$$

(3.3)

The subspace  $U_{-1}$  in the 3-grading  $C(n + 1) = U_{-1} \oplus U_0 \oplus U_1$  is spanned by all multiple commutators where the Chevalley generator  $e_0$ , corresponding to the ‘grey’ node above, appears exactly once. Likewise,  $U_1$  is spanned by all multiple commutators where  $f_0$  appears exactly once. The subalgebra  $U_0$  is the direct sum of a one-dimensional Lie algebra and the simple complex Lie algebra  $C_n$ . The Dynkin diagram of  $C_n$  is obtained by deleting the grey node, and its compact real form is  $\mathfrak{sp}(2n)$ .

Now we define the inner product  $\kappa$  and the superconjugation  $\tau$  for the Chevalley generators. For the inner product, we have

$$\kappa(h_{n-1}, h_n) = 1, \quad \kappa(e_n, f_n) = -\frac{1}{2}$$

(3.4)

and otherwise

$$\kappa(h_i, h_j) = -A_{ij}, \quad \kappa(e_i, f_j) = -\delta_{ij}.$$

(3.5)

The superconjugation is given by

$$\begin{aligned}
 \tau(e_0) &= -f_0, & \tau(e_p) &= -f_p, \\
 \tau(f_0) &= e_0, & \tau(f_p) &= -e_p, \\
 \tau(h_0) &= -h_0, & \tau(h_p) &= -h_p,
 \end{aligned}$$

(3.6)

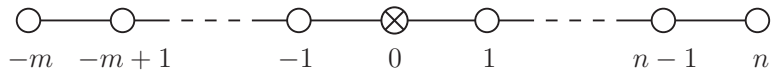
for  $p > 0$ . The inner product of two arbitrary elements then follows by invariance, and the action of the superconjugation on an arbitrary element follows by the homomorphism property.

3.2. The Lie superalgebras  $A(m, n)$

For any integers  $m, n \geq 0$ ,  $(m, n) \neq (0, 0)$ , the Lie superalgebra  $A(m, n)$  is given by the entries  $A_{ij}$  of the Cartan matrix

$$\begin{array}{c}
 \begin{array}{cccccccccccc}
 & -m & & & -2 & -1 & 0 & 1 & 2 & & & n \\
 -m & -2 & 1 & 0 & \cdots & 0 & 0 & 0 & 0 & \cdots & 0 & 0 & 0 \\
 -m+1 & 1 & -2 & 1 & \cdots & 0 & 0 & 0 & 0 & \cdots & 0 & 0 & 0 \\
 -m+2 & 0 & 1 & -2 & \cdots & 0 & 0 & 0 & 0 & \cdots & 0 & 0 & 0 \\
 & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \vdots & & \vdots & \vdots & \vdots \\
 -2 & 0 & 0 & 0 & \cdots & -2 & 1 & 0 & 0 & \cdots & 0 & 0 & 0 \\
 -1 & 0 & 0 & 0 & \cdots & 1 & -2 & 1 & 0 & \cdots & 0 & 0 & 0 \\
 0 & 0 & 0 & 0 & \cdots & 0 & 1 & 0 & -1 & 0 & \cdots & 0 & 0 & 0 \\
 -1 & 0 & 0 & 0 & \cdots & 0 & 0 & -1 & 2 & -1 & \cdots & 0 & 0 & 0 \\
 -2 & 0 & 0 & 0 & \cdots & 0 & 0 & 0 & -1 & 2 & \cdots & 0 & 0 & 0 \\
 & \vdots & \vdots & \vdots & & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots \\
 n-2 & 0 & 0 & 0 & \cdots & 0 & 0 & 0 & 0 & 0 & \cdots & 2 & -1 & 0 \\
 n-1 & 0 & 0 & 0 & \cdots & 0 & 0 & 0 & 0 & 0 & \cdots & -1 & 2 & -1 \\
 n & 0 & 0 & 0 & \cdots & 0 & 0 & 0 & 0 & 0 & \cdots & 0 & -1 & 2
 \end{array} \\
 \underbrace{\hspace{10em}}_m & & \underbrace{\hspace{10em}}_n
 \end{array}
 \tag{3.7}$$

or, equivalently, by the Dynkin diagram



The Lie superalgebra  $A(m, n)$  can now be constructed from its Cartan matrix or its Dynkin diagram in the same way as  $C(n+1)$  in the preceding subsection, starting from  $3(m+n+1)$  Chevalley generators  $e_i, f_i, h_i$ , where now  $i = -m, -m+1, \dots, n$  according to the labelling above. The Lie superalgebra  $A(m, n)$  is then generated by these elements modulo the Chevalley–Serre relations (3.2)–(3.3) and, if  $m, n > 0$ , the supplementary relations

$$\begin{aligned}
 0 &= (\text{ad } e_0) \circ (\text{ad } e_1) \circ (\text{ad } e_0) \circ (\text{ad } e_{-1}) \\
 &= (\text{ad } e_0) \circ (\text{ad } e_{-1}) \circ (\text{ad } e_0) \circ (\text{ad } e_1) \\
 &= (\text{ad } f_0) \circ (\text{ad } f_1) \circ (\text{ad } f_0) \circ (\text{ad } f_{-1}) \\
 &= (\text{ad } f_0) \circ (\text{ad } f_{-1}) \circ (\text{ad } f_0) \circ (\text{ad } f_1).
 \end{aligned}
 \tag{3.8}$$

For  $m = n$ , we also factor out the one-dimensional ideal spanned by the Cartan element

$$h_{-m} + 2h_{-m+1} + \cdots + mh_{-1} + (m+1)h_0 + mh_1 + \cdots + 2h_{m-1} + h_m.
 \tag{3.9}$$

It follows from the Chevalley–Serre relations that  $A(m, n)$  is spanned by all elements  $e_{ij}, f_{ij}$  and  $h_{ij}$ , defined by

$$\begin{aligned}
 e_{ij} &= [\cdots [e_i, e_{i+1}], \dots, e_j], \\
 f_{ij} &= (-1)^j [\cdots [f_i, f_{i+1}], \dots, f_j], \\
 h_{ij} &= h_i + h_{i+1} + \cdots + h_j,
 \end{aligned}
 \tag{3.10}$$

where  $-m \leq i \leq j \leq n$ . As for  $C(n+1)$ , the subspaces  $U_{-1}$  and  $U_1$  in the 3-grading  $A(m, n) = U_{-1} \oplus U_0 \oplus U_1$  are spanned by all multiple commutators where  $e_0$  and  $f_0$ , respectively, appear exactly once. If  $m \neq n$ , then the Lie algebra  $U_0$  is the direct sum of a one-dimensional subalgebra and the complex semisimple Lie algebra  $A_m \oplus A_n$ . If  $m = n$ , the one-dimensional subalgebra vanishes, since this is then the ideal, spanned by the element (3.9), that we factored out in the construction of the algebra. The Dynkin diagram of  $A_m \oplus A_n$  is obtained by deleting the grey node above, and its compact real form is  $\mathfrak{su}(m+1) \oplus \mathfrak{su}(n+1)$ .

In the basis (3.10), the subspaces  $U_1$  and  $U_{-1}$  are spanned by all elements  $e_{ik}$  and  $f_{jl}$ , respectively, such that  $i, j \leq 0 \leq k, l$ . Then we have

$$\begin{aligned} [h_{ik}, e_{jl}] &= (-\delta_{ij} + \delta_{kl})e_{jl}, \\ [h_{ik}, f_{jl}] &= (\delta_{ij} - \delta_{kl})f_{jl}. \end{aligned} \tag{3.11}$$

If we furthermore assume  $i < j$  and  $k < l$ , then we get

$$\begin{aligned} \{e_{ik}, f_{jk}\} &= -e_{i(j-1)}, \\ \{e_{jk}, f_{ik}\} &= f_{i(j-1)}, \\ \{e_{jk}, f_{jl}\} &= -f_{(k+1)l}, \\ \{e_{jl}, f_{jk}\} &= -e_{(k+1)l}, \end{aligned} \tag{3.12}$$

and we also have

$$\{e_{ij}, f_{ij}\} = h_{ij}. \tag{3.13}$$

For  $(i, j) \neq (k, l)$ , the anticommutator  $\{e_{ij}, f_{kl}\}$  is instead another element  $e_{pq}$  or  $f_{pq}$ . Using (3.11)–(3.13), we obtain

$$[\{e_{ik}, f_{jl}\}, e_{pq}] = \delta_{ij}\delta_{lq}e_{kp} - \delta_{kl}\delta_{jp}e_{iq} \tag{3.14}$$

for any  $i, j \leq 0 \leq k, l$ . Define the inner product  $\kappa$  and the superconjugation  $\tau$  by

$$\kappa(h_i, h_j) = -A_{ij}, \quad \kappa(e_i, e_j) = -\delta_{ij}, \tag{3.15}$$

$$\begin{aligned} \tau(e_q) &= f_q, & \tau(e_0) &= -f_0, & \tau(e_p) &= -f_p, \\ \tau(f_q) &= e_q, & \tau(f_0) &= e_0, & \tau(f_p) &= -e_p, \\ \tau(h_q) &= -h_q, & \tau(h_0) &= -h_0, & \tau(h_p) &= -h_p, \end{aligned} \tag{3.16}$$

for (only here)  $q < 0 < p$ . This gives

$$\kappa(e_{ik}, f_{jl}) = -\delta_{ij}\delta_{kl}, \quad \tau(e_{ik}) = -f_{ik}, \quad \tau(f_{jl}) = e_{jl} \tag{3.17}$$

for  $i, j \leq 0 \leq k, l$ . It then follows that the vector space  $U_{-1}$  together with the triple product given for the basis elements by

$$(e_{ik}e_{jl}e_{pq}) = [\{e_{ik}, \tau(e_{jl})\}, e_{pq}] = \delta_{kl}\delta_{jp}e_{iq} - \delta_{ij}\delta_{lq}e_{kp} \tag{3.18}$$

is an  $N = 6$  three-algebra.

To each basis element  $e_{ij}$  of  $U_{-1}$ , we can associate an  $m \times n$  matrix where the entry in row  $i$  and column  $j$  is one, and all the others are zero. Thus we can identify  $U_{-1}$  with the vector space of all complex  $m \times n$  matrices. The triple product that follows from (3.18) for three arbitrary elements is then

$$(xyz) = xy^\dagger z - zy^\dagger x. \tag{3.19}$$

We have thus shown that this example of an  $N = 6$  three-algebra, associated with the Lie superalgebra  $A(m, n)$ , is indeed the same as the one in [8], where it was shown to give the

ABJM theory. Alternatively, one could have shown this using the matrix realization of  $A(m, n)$  as  $\mathfrak{su}(m+1|n+1, \mathbb{C})$  or (if  $m = n$ ) as  $\mathfrak{psu}(n+1|n+1, \mathbb{C})$ , with (minus) the supertranspose as the superconjugation  $\tau$ , and also obtained an analogous description of the three-algebra associated with  $C(n+1) = \mathfrak{osp}(2|2n, \mathbb{C})$ .

#### 4. Conclusion

In this paper, we have used generalized Jordan triple systems to describe a one-to-one correspondence between two different algebraic structures: on the one hand, the three-algebras that have been used in three-dimensional superconformal Chern–Simons theories, and on the other a kind of 3-graded Lie superalgebras.

As mentioned in the introduction, the correspondence between three-algebras and Lie superalgebras has also been studied in [22], without using generalized Jordan triple systems. Theorem 22 in [22] is similar to our theorem 2.1, but there is an important difference. In [22], the even subspace of the Lie superalgebra is required to act faithfully on the odd subspace in the adjoint representation, but it is not required to be simple. In this paper, we have refined the result by showing that simple three-algebras correspond to simple Lie superalgebras and vice versa.

The simple Lie superalgebras that appear in the one-to-one correspondence are  $C(n+1)$  and  $A(m, n)$ . We have only considered  $N = 6$  and  $N = 8$  theories, but there are also  $N = 4$  and  $N = 5$  theories corresponding to the Lie superalgebras  $B(m, n)$ ,  $D(m, n)$ ,  $F(4)$ ,  $G(3)$ ,  $D(2, 1; \alpha)$  [13–15, 31] (for the embedding tensor approach see also [32]). These Lie superalgebras do not admit a 3-grading but instead a 5-grading, with nonzero subspaces  $U_{\pm 2}$ . It would be interesting to study the generalized Jordan triple systems corresponding to such 5-graded Lie superalgebras. Those corresponding to 5-graded ordinary Lie algebras are called *Kantor triple systems* [16, 33, 34] and were suggested in [35] to play a role in the Bagger–Lambert–Gustavsson theory of multiple M2-branes. In an other possibly related approach, *symplectic* three-algebras were introduced in [36].

Going to the other side of the correspondence, the three-algebras that we have considered here are positive definite, and this fact is essential for some of the results. Possible generalizations to other signatures would be an interesting subject for further research.

Our construction of the associated Lie superalgebra is a simple modification of the original construction by Kantor, which associates a graded Lie algebra to any generalized Jordan triple system. These Lie algebras are defined by the same Cartan matrices (3.1) and (3.7) as the corresponding Lie superalgebras, but the anticommutator in the Chevalley relations is replaced by a commutator. The result is an infinite-dimensional Lie algebra, which is not of Kac–Moody type [17]. However, the Lie algebra corresponding to  $A(0, n)$  is in fact a *Borchers algebra*, which is a kind of generalized Kac–Moody algebra [37]. This would be interesting to explore further.

#### Acknowledgments

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*Note added.* After the preprint of this paper appeared, it was shown in [27] that the refined result (that simple three-algebras correspond to simple Lie superalgebras and vice versa) also can be recovered from the construction in [22]. See also [28].

### Appendix. Simple three-algebras and Lie superalgebras

As promised in section 2.3, we will here prove the remaining part of theorem 2.1 that the 3-graded Lie superalgebra  $U$  associated with an  $N = 6$  three-algebra  $V$  is simple if and only if  $V$  is simple. First, we need the following result, which we mentioned in section 2.2.

**Lemma A.1.** *Any weak ideal  $D$  of an  $N = 6$  three-algebra  $V$  is an ideal.*

**Proof.** Any two elements  $u, v \in V$  can be decomposed as

$$u = u_D + u_\perp, \quad v = v_D + v_\perp, \tag{A.1}$$

where  $u_D, v_D \in D$ , and

$$\langle u_\perp | d \rangle = \langle v_\perp | d \rangle = 0 \tag{A.2}$$

for all  $d \in D$ . Suppose that  $D$  is not an ideal. Then, for any  $d \in D$ , there must be elements  $u, v \in V$  such that  $(udv) \notin D$ . But since  $D$  is a weak ideal,

$$(u_D dv_D), (u_D dv_\perp), (u_\perp dv_D) \in D \tag{A.3}$$

so this means that  $(u_\perp dv_\perp) \notin D$ . Using (2.4), we get

$$\langle (u_\perp dv_\perp) | (u_\perp dv_\perp) \rangle = \langle v_\perp | (du_\perp(u_\perp dv_\perp)) \rangle = \langle v_\perp | d' \rangle \tag{A.4}$$

for some  $d' \in D$ , again since  $D$  is a weak ideal. Thus, the inner product with  $v_\perp$  is zero. But on the other hand,  $(u_\perp dv_\perp)$  is a nonzero element and since the inner product is positive definite, we must have

$$\langle (u_\perp dv_\perp) | (u_\perp dv_\perp) \rangle > 0. \tag{A.5}$$

Thus, we get a contradiction, and we conclude that  $D$  is an ideal. □

Since also the converse is true, any ideal is a weak ideal, it follows from the lemma that it is enough to show that  $U$  is simple if and only if there are no weak ideals of  $V$  (other than 0 and  $V$  itself). Furthermore, a weak ideal of  $V$  is the same as a subrepresentation of  $U_{-1}$  under  $U_0$ , so in fact we only have to show that  $U$  is simple if and only if the representation of  $U_0$  on  $U_{-1}$  is irreducible.

Suppose first that the representation of  $U_0$  on  $U_{-1}$  is irreducible, and that there is a nontrivial ideal  $C$  of  $U$ . Let  $c = c_{-1} + c_0 + c_1$  be a nonzero element in  $C$ , where  $c_k \in U_k$  for  $k = 0, \pm 1$ . It follows from the definition of  $U_0$  that it cannot contain a nonzero ideal of  $U$ . Thus,  $c_{-1}, c_1 \neq 0$  and  $\tau(c_1) \in U_{-1} = V$ . Since the inner product is non-degenerate, it follows from (2.4) that there must be elements  $u, v \in V$  such that  $(u\tau(c_1)v) \neq 0$ . But

$$(u\tau(c_1)v) = [\{u, \tau(\tau(c_1))\}, v] = -[\{u, c_1\}, v] = -[\{u, c\}, v], \tag{A.6}$$

where the last equality follows from the 3-grading. This is an element in  $U_{-1}$ , but also in  $C$ , since  $C$  is an ideal of the Lie superalgebra. Thus, the intersection of  $U_{-1}$  and  $C$  is a nonzero subrepresentation of  $U_{-1}$  under  $U_0$ . But then  $U_{-1} \subseteq C$  since the representation of  $U_0$  on  $U_{-1}$  is irreducible. In the same way, there must be elements  $\tau(x), \tau(y) \in U_1 = \tau(V)$  such that  $(\tau(x)\tau(c_{-1})\tau(y)) \neq 0$  and we get that  $U_{-1} \subseteq C$ . Since  $U$  is generated by  $U_{-1}$  and  $U_1$ , we conclude that  $U = C$ , so the only nontrivial ideal of  $U$  is  $U$  itself. Thus,  $U$  is simple.

Suppose now that  $U$  is simple, and let  $D$  be a proper and nontrivial ideal of  $V = U_{-1}$ , so that  $[U_0, D] \subseteq D$ . Then, the subspace

$$D + \{D, U_1\} + \{[D, U_1], U_1\} \quad (\text{A.7})$$

is a proper and nontrivial ideal of  $U$ , which contradicts the assumption that  $U$  is simple. Thus, there are no such ideals in  $V$ , and we conclude that  $V$  is simple.

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